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\* Applies to the specification, claims, and abstract when filed in PDF format. Please Visit the [Summary of Final Patent Fee Rules](#)



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DOCX filing is available in both Patent Center and EFS-Web with some key differences

Patent Center	EFS-Web
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Filers can file nonprovisional utility, provisional, and national stage application types; follow-ons on the same day	Filers can only file nonprovisional utility applications
File multi-section (specification, claims, abstract, drawings) in a single document for Patent Center to automatically detect and assign document codes	Filers must manually split documents into separate single-section documents prior to upload
New technology will allow for future enhancements such as submission of amendments and responses	Only specification, claims, abstract may be uploaded in DOCX
Drag and drop to upload documents	No drag and drop feature





# Patent Center and DOCX

# Authentication

## Registered user

The image shows two screenshots of the USPTO sign-in process for a registered user. The first screenshot shows the 'Sign in' page with fields for 'Email address' (p[redacted]@gmail.com) and 'Password'. There is a 'Show' button for the password field and a 'Forgot password?' link. A blue 'Sign in' button is at the bottom. Below the button is a link to 'Create a USPTO.gov account'. At the bottom of the page, there is a disclaimer: 'By signing in, I certify to the USPTO's Terms of Use and USPTO Privacy Policy.' The second screenshot shows the 'Two-step authentication' page. It explains that two-step authentication provides an extra layer of security. A note states: 'Note: Two-step authentication may be required in order to access certain areas within the site.' Below this, there is a section 'Receive code via:' with four radio button options: 'Email (p[redacted]@gmail.com)', 'Code generator (Authenticator app)', 'Text message (XXX-XXX-[redacted])', and 'Phone call (XXX-XXX-[redacted])'. A blue 'Next' button is at the bottom right.

## Guest user

### Warning/Error Message

The image shows a warning message box from the USPTO. The box has a title bar with a warning icon and the text 'Warning' and a close button (x). The main text reads: 'As a **guest user**, you can file a new application and view public applications. Please sign-in or register for Patent Center, in order to have full access to all features, such as the ability to save your submission to resume at a later time and to submit additional documents/fees to an existing application.' At the bottom of the box, there is a blue 'Continue' button.



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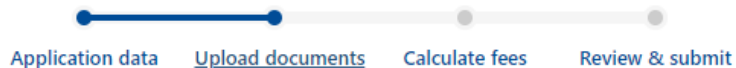
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# Drag and drop

## Utility Nonprovisional

Nonprovisional Application under 35 USC 111(a)



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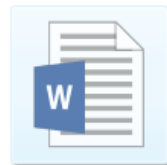
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- ⚠ The specification paragraph numbering is not consecutive. Please review and revise if necessary.
- Duplicate paragraph numbering has been detected. Please review and revise if necessary.
- At least one claim appears to not end with a period. Please review and revise if necessary.
- The claims appear to contain an improper dependency with at least one claim that depends on itself. Please review and revise if necessary.
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- Word count in abstract section is over 150 words.

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**Detected:** **Specification, Claims, Abstract, Drawings**

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# Claims feedback

## Claims

1. A financial instrument comprising:  
[Redacted]
2. An article as defined in claim 1,  
[Redacted]
3. An article as defined in claim 3  
[Redacted]
3. An article as defined in claim 1,  
[Redacted]



**USPTO**

Independent claim



**USPTO**

**Warning**

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**USPTO**

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# Abstract feedback

## Abstract

This is an example of an abstract of the invention. In this abstract example we will exceed 150 words. Since MPEP section 608.01(b) recommends that the number of words in the abstract be within the range of 50-100 words, this is a useful detection provided by our USPTO DOCX system. After detection of the abstract of the invention, the number of words are validated. Upon uploading the abstract, the user is immediately notified if they have exceeded this amount and a feedback document is provided to the user pre-submission. Upon opening the feedback document, a message indicated that the number of words in the abstract has been exceeded will be shown. The user may desire to delete, modify and upload the abstract again. The user will be able to easily identify where the number of words are exceeded since the system will indicate the exact location of the extra words. Here are the extra words.



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Application # 59

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Application Data

Documents & Transactions

Continuity

Patent Term Adjustment

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Fee Payment History

Address & Attorney/Agent Information

Supplemental Content

Assignments

Display References

Application #	Attorney Docket #	Patent #	Status	Filing or 371 (c) date
59/[redacted]	- <a href="#">Edit</a>		Docketed New Case - Ready for Examination - 08/13/2019	01/01/2018

**i** Estimated time to first action is 8 months. [View](#) / [Print first action letter](#)

Application type	Utility	Earliest publication #	-	Intl. registration # (Hague)	-
Examiner	[redacted]	Earliest publication date	-	Intl. registration publication date	-
Group art unit	OPA	Assignee for publication	-		
Class/subclass	118/[redacted]	Confirmation #	2492		
AIA (first inventor to file)	Yes				
Entity status	Regular Undiscounted				

**Correspondence address**

59 - [redacted]  
Oct 5 2020  
[redacted]  
600 DULANYSTREET  
Alexandria, VA  
UNITED STATES

**Inventors**

Data not available

**Applicants**

[redacted]  
Royal Palm Beach (US)

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06/27/2019	N417	EFS Acknowledgment Receipt	2		PDF
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29	10	-	76	NOA	Notice of Allowance and Fees Due (PTOL-85)	03/23/2021	04/09/2021		Preview DOCX / PDF / PNG / XML	<input type="checkbox"/>
16	01	-	33	NOA	Notice of Allowance and Fees Due (PTOL-85)	04/09/2021	04/09/2021		Preview PDF	<input type="checkbox"/>
16	07	-	13	CTNF	Non-Final Rejection	04/09/2021	04/09/2021		Preview DOCX / PDF / PNG / XML	<input type="checkbox"/>
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A detailed technical drawing of a mechanical assembly, possibly a multi-cylinder engine, is shown in a light gray line-art style. The drawing includes various components, pistons, and connecting rods, with numerous callout numbers such as 80, 84, 86, 82, 24, 30, 34, 32, 52, and 50. The drawing is set against a dark blue background that has a wavy, torn-paper-like edge at the top and bottom.

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Attorney Docket Number

**First Named Inventor:**

\* First Name

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First Named Inventor: J DOE  
Customer Number or Correspondence Address: 59  
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Application Type: Utility under 35 USC 111(a)

**Attach application parts - Specification, Claims and Abstract.**

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◆ PASSED	<a href="#">2021_06_08_20_04_05_0279_ccdbc41e-e14d-40fd-b05a-23fd58fce78.docx</a> <i>(Original: claims.docx)</i>	Claims Document	-	29863 bytes	<input type="button" value="Remove"/>
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Application Type Utility under 35 USC 111(a)

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<a href="#">2021_06_08_20_04_05_0279_ccdbc41e-e14d-40fd-b05a-23fdd58fce78.docx</a>	Claims Document	-	29863 bytes	◆ PASSED	
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<a href="#">2021_06_08_20_12_48_0910_078a5d90-1991-4a4b-8baf-aa5ad463b37e.docx</a>	Abstract	-	14910 bytes	▲ WARNING	
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EFS ID	4
Application Number	59
Confirmation Number	4236
Title of Invention	TEST DOCX
First Named Inventor	J DOE
Customer Number or Correspondence Address	59
Attorney Docket Number	
Filing Date	
Receipt Date	08-JUN-2021
Application Type	Utility under 35 USC 111(a)

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2021_06_08_20_26_54_0696_13925944-6e7b-498c-9aaa-4be244b148aa.docx	Claims Document	-	30390 bytes	PASSED
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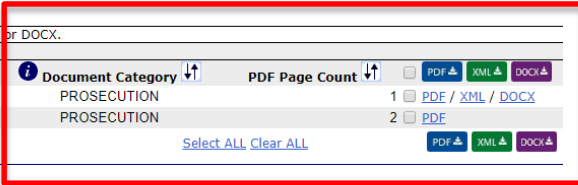
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